

**U.S. DEPARTMENT OF DEFENSE**  
SMALL BUSINESS TECHNOLOGY TRANSFER (STTR) PROGRAM  
FAST TRACK APPLICATION FORM

Failure to fill in all appropriate spaces may cause your proposal to be disqualified

**FAST TRACK PROGRAM QUALIFICATIONS** (see Section 4.5 of the solicitation for detailed explanation)

To qualify for the STTR Fast Track, a company must submit a Fast Track application and meet the other requirements detailed in Section 4.5 of the solicitation. This form, when completed and signed by both the company and its investor, should be included as the cover sheet of the Fast Track application. Instructions on where to submit the application are on the back of this form.

|                   |             |                               |                          |
|-------------------|-------------|-------------------------------|--------------------------|
| TOPIC #:          | CONTRACT #: | PHASE I EFFECTIVE START DATE: | PHASE I COMPLETION DATE: |
| PHASE I TITLE:    |             |                               |                          |
| FIRM:             |             | TAXPAYER ID#:                 |                          |
| STREET:           |             |                               |                          |
| CITY:             |             | STATE:                        | ZIP:                     |
| OUTSIDE INVESTOR: |             | TAXPAYER ID#:                 |                          |
| STREET:           |             |                               |                          |
| CITY:             |             | STATE:                        | ZIP:                     |

**BUSINESS CERTIFICATION:**

- |  |  |                          |                          |
|--|--|--------------------------|--------------------------|
| <p>Has your company ever received a Phase II SBIR or STTR award from the federal government (including DoD)? If yes, the minimum matching rate is \$1 for every STTR dollar.</p> <p>If no, the minimum matching rate is 25 cents for every STTR dollar.</p>  |  | <input type="checkbox"/> | <input type="checkbox"/> |
| <p>Does the outside funding proposed in this application qualify as a "Fast Track investment", and does the investor qualify as an "outside investor", as defined in DoD Fast Track Guidance (Reference E)? If you have any questions about this, call the DoD SBIR/STTR Help Desk (800-382-4634). The Help Desk will refer any policy and/or substantive questions to appropriate DoD personnel for an official response.</p> |  | <input type="checkbox"/> | <input type="checkbox"/> |

*Caution: knowingly and willfully making any false, fictitious, or fraudulent statements or representations above may be felony under the Federal Criminal False Statement Act (18 U.S.C. Sec 1001), punishable by a fine of up to \$10,000, up to five years in prison, or both.*

**PROPOSED STTR AND MATCHING FUNDS:**

- ▶ Proposed DoD STTR funds for the interim effort: \$ \_\_\_\_\_  
 ▶ Proposed DoD STTR funds for Phase II: \$ \_\_\_\_\_  
 ▶ Total proposed DoD STTR funds (interim + Phase II): \$ \_\_\_\_\_  
 ▶ Amount of matching funds (cash) the investor will provide: \$ \_\_\_\_\_

By signing below, the parties are stating that the outside investor will provide matching funds, in the amount listed above, contingent on the company's selection for Phase II STTR award. If the matching funds are not transferred from the investor to the company within 45 days after DoD has notified the company that it has been selected for Phase II award, the company will be ineligible to compete for a Phase II award not only under the Fast track but also under the regular Phase II competition, unless a specific written exception is granted by the Component STTR program manager.

|               |  |                           |  |
|---------------|--|---------------------------|--|
| FIRM OFFICIAL |  | OUTSIDE INVESTOR OFFICIAL |  |
| NAME:         |  | NAME:                     |  |
| TITLE:        |  | TITLE:                    |  |
| TELEPHONE:    |  | TELEPHONE:                |  |
| SIGNATURE:    |  | SIGNATURE:                |  |
| DATE:         |  | DATE:                     |  |

## **INSTRUCTIONS FOR COMPLETING APPENDIX D**

### **SUBMISSION:**

Submit the Fast Track application, including the three items discussed in Section 4.5(b), to the technical monitor for your Phase I project. In addition, submit a copy of the entire application to the Program Manager of the DoD Component funding the STTR project (addresses below). Finally, send a copy of this application cover sheet, when completed, to the DoD SBIR/STTR Program Manager, 3061 Defense Pentagon, Room 2A338, Washington, DC 20301-3061. Do not submit other items in the Fast Track application to the DoD STTR Program Manager.

#### **Department of the Army**

Director, Army Research Office  
ATTN: AMXRO-RT (Ltc. Ken Jones)  
4300 S. Miami Boulevard  
Research Triangle Park, NC 27709

#### **Ballistic Missile Defense Organization**

ATTN: TOI/STTR (Bond)  
1725 Jefferson Davis Highway  
Suite 809  
Arlington, VA 22202

#### **Department of the Navy**

ONR 362 STTR  
800 N. Quincy Street  
Arlington, VA 22217-5660

#### **Defense Advanced Research Projects Agency**

ATTN: STTR Program Manager (Ms. C. Jacobs)  
3701 N. Fairfax Drive  
Arlington, VA 22203-1714

#### **Department of the Air Force**

AFPL/XPPX, Suite 6  
ATTN: R.J. Dickman  
Wright Patterson AFB, OH 45433-5006

### **REQUEST FOR COPIES OF THIS FORM:**

Additional forms may be downloaded from our Home Page (<http://www.acq.osd.mil/sadbu/sbir>). They may also be obtained from your State SBIR/STTR Organization (Reference D) or:

DoD SBIR/STTR Support Services  
2850 Metro Drive, Suite 600  
Minneapolis, MN 55425-1566  
(800) 382-4634